

Providing Institutional Quality,
Reliable suite of portfolio
solutions that you can
depend on.



FUNDAMENTUM SNAPSHOT

ABOUT

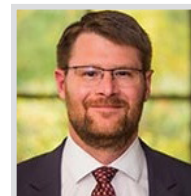
Your Financial Advisor is affiliated with Stratos Wealth Partners, an independent firm that provides an unbiased environment in which like-minded experienced advisors can effectively address the unique needs of their clients. As part of this affiliation, your advisor has access to Fundamentum.

We offer disciplined investment strategies tailored to align with your unique risk tolerance, goals and objectives. Our portfolios are tactical in nature, allowing us to be nimble and capitalize on opportunities in the marketplace. We also keep costs low and strive to deliver long-term, risk adjusted returns within all of our client investment portfolio options.

TEAM OVERVIEW

- Over 20 portfolios in 5 distinct investment sleeves, focused on Tactical Asset Allocation portfolios, utilizing ETFs, Index Funds, individual securities and actively managed funds.
- Deliver institutional quality, reliable portfolio strategies that fit many needs in the financial planning process
- Dedicated team of Investment Professionals located in Cleveland, Ohio
- Prior to Fundamentum, collective committee experience of ~100 years, where they managed ~\$40B in various roles.
- CFA Charterholders
- Dedicated team of support staff including operations, trading and marketing
- Full access to the Portfolio Management Team for consultation and client presentations

INVESTMENT COMMITTEE



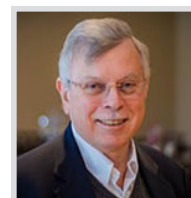
Chad Roope, CFA

Lead Portfolio Manager

Portfolio Manager for Fundamentum, LLC, the internal asset management division of Stratos Wealth Partners. Chad directs all day-to-day research, management and decision-making responsibilities for strategies offered by Fundamentum.

Prior to joining Fundamentum, Chad served as a Portfolio Manager with TOPS@ValMark Advisers, which is one of the nation's largest and longest running ETF management programs with over \$5 billion assets under management. Chad also served as a Private Client Portfolio Consultant with Charles Schwab & Co where he managed highly complex, customized equity, fixed income and options portfolios.

He is graduate of Western Carolina University with a B.S.B.A. in Finance (Magna Cum Laude). He also spent a year at the University of Portsmouth, England studying the Euro in its infancy and researching the efficacy of the UK adopting the currency. Chad is a CFA Charterholder and member of the CFA Society Cleveland.

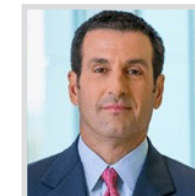


Trevor Forbes

Investment Committee

CEO and Head of Investments with Renaissance Investment Group. Trevor graduated from Leicester University, UK with a BSc (Hons) and trained as an investment analyst.

Trevor has served as Chief Investment Officer at Standard Life Wealth, ABN Amro, Julius Baer, Credit Suisse Private Bank and Citibank Global Asset Management. He has investment experience across all global and domestic asset classes and has managed portfolios for Pension Funds, Government Agencies, Religious and secular Foundations as well as Private Wealth Management. Trevor is an affiliate member of the CFA Society of the United Kingdom.

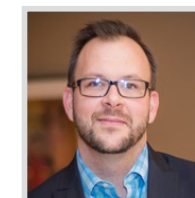


Paul Danes, CFA

Investment Committee

Paul Danes is also co-Chief Investment Officer and senior portfolio manager of Diversified Equity Management's Diversified Equity strategy. Paul is a member of the portfolio management team for the Victory Diversified Equity strategy, a large-company, domestic equity strategy/fund. This team manages over \$2 billion in common, collective, and mutual funds as well as individual portfolios for corporations, endowments and foundations and nonprofit institutions.

He is a graduate of Arizona State University with a Bachelor's degree in Finance, and received his MBA from The Ohio State University in 1987. He has 29 years of investment experience, including 27 with Victory Capital Management or its predecessors where he has been both an equity securities analyst, portfolio manager, and Chief Investment Officer.



Matt Dunn

Chief Compliance Officer

Matt is the Chief Compliance Officer of Stratos Wealth Partners, Inc. and Fundamentum LLC. He also is a member of Stratos' Executive Committee.

As CCO, he oversees all brokerage and advisory compliance matters for Stratos, Fundamentum, and off-platform operations. At Stratos, Matt's focus is to keep all advisers, partners, and staff within SEC and FINRA rules, to ensure Stratos has a long and prosperous tenure as a top wealth management firm.

Matt started in compliance in 2005, with a major clearing firm, and has been employed in operations, internal audit, risk, and as a cycle examiner at FINRA. Matt has also served as a CCO and FinOP for a money manager RIA/BD prior to joining Stratos.

He earned his Bachelor of Arts degree in economics and holds the Series 7, 24, and 53 registrations through LPL Financial, and the Series 66 registration through LPL Financial and Stratos Wealth Partners. Matt is Level III candidate in the CFA Program.



STATEMENT OF PURPOSE & ETHICS

PURPOSE

Our purpose is to provide an institutional quality, reliable suite of portfolio solutions that clients can depend on to achieve their financial goals. We intend to serve our clients by acting in their best interest with independence and objectivity.

ETHICS

We adhere to the CFA Institute® Code of Ethics that requires Fundamentum to:

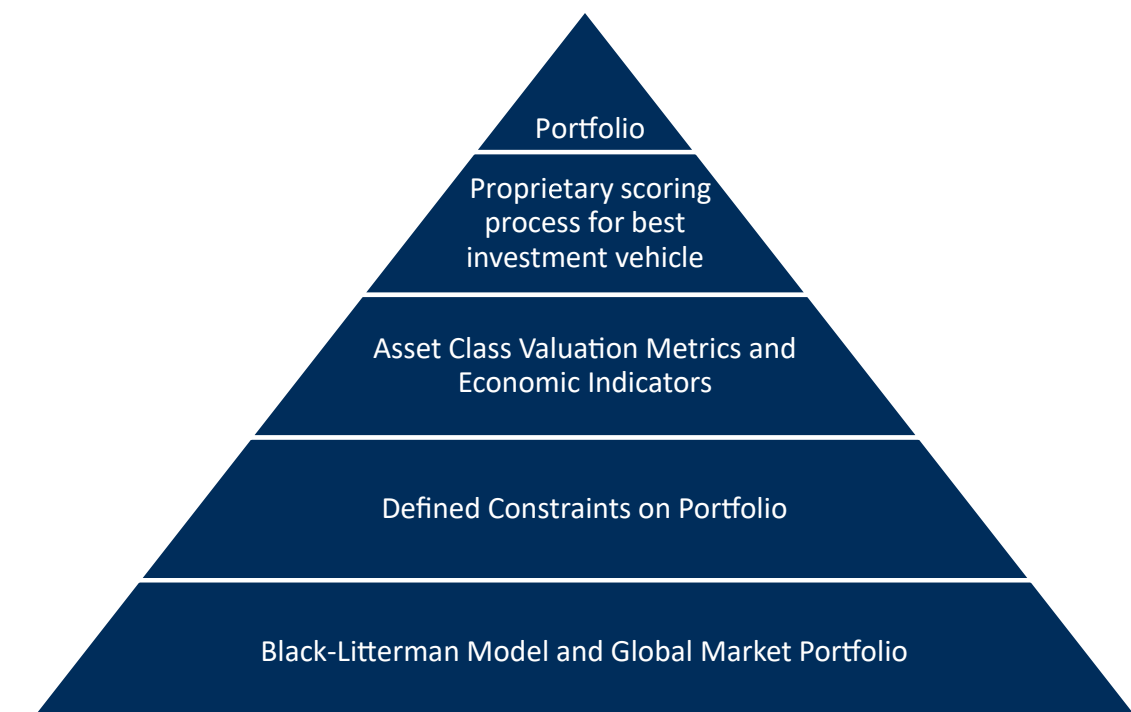
- Act with integrity, competence, diligence, respect, and in an ethical manner with the public, clients, prospective clients, employers, employees, colleagues in the investment profession, and other participants in the global capital markets.
- Place the integrity of the investment profession and the interests of clients above our own personal interests.
- Use reasonable care and exercise independent professional judgment when conducting investment analysis, making investment recommendations, taking investment actions, and engaging in other professional activities.
- Practice and encourage others to practice in a professional and ethical manner that will reflect credit on ourselves and the profession.
- Promote the integrity and viability of the global capital markets for the ultimate benefit of society.
- Maintain and improve my professional competence and strive to maintain and improve the competence of other investment professionals.



PHILOSOPHY & PROCESS

The Fundamentum models are built on the foundation that global financial markets are not likely to be strongly efficient, meaning that they do not instantly reflect all information. This may allow portfolio managers with flexible mandates to capitalize on market disequilibria. A market for a particular financial asset may not be in equilibrium during a particular time frame for many reasons such as (not limited to):

- Different levels of information known: for example, an investment manager may know much more than a seller of an assets by analyzing a mosaic of information
- Varying levels of time horizons by investors: for example, endowments have much different time horizons than individuals
- Political uncertainties: often times, some institutional investors must avoid areas of political uncertainty giving rise to mis-priced assets as an example.
- Demographics: bonds tend to be more in demand by older populations for example Over time, Fundamentum portfolios are constructed in the belief that markets correctly discount disequilibria and mean revert to a state of equilibrium over time. The Fundamentum tactical process is built on a foundational financial principle called the Black-Litterman model, which is an equilibrium model that begins by defining the global financial market as a whole. The defined global financial market serves as a neutral reference point and the policy portfolio, which is a well-diversified, market capitalization weighted benchmark. For specific financial markets in the global market portfolio that the Fundamentum Investment Committee has an informed opinion and believes may be in a state of disequilibrium, the team expresses different – than–equilibrium views that results in tilting portfolio weights above or below the neutral benchmark weights. It is through this process of reversion to equilibrium that Fundamentum attempts to add value.





STRATEGIES OVERVIEW

Tactical

- Conservative
- Moderate
- Growth
- High Income
- Low Duration Income

Quantitative

Momentum Based Strategies

- Conservative
- Moderate
- Growth
- Global Equity

Custom Portfolio Solution

- \$15M minimum
- Custom IPS
- Mainly individual stocks, bonds and options
- Concentrations
- Executives, Family Trust, Liquidity events

Enhanced Index

- Conservative
- Cons./Moderate
- Moderate
- Moderate-US Only
- Growth
- Aggressive Growth
- Aggressive
- Growth-US Only
- DFA, Vanguard, iShares

Global Individual Equity Portfolio

- 30-40 Stocks
- All cap
- 0-25% SMID
- 0-20% International
- S&P 100 Benchmark

WHY CHOOSE FUNDAMENTUM

Compliance

- Fundamentum is supported by a full team of Compliance Professional and provide for clear, applicable guidance, supported by the expertise to explain the reasoning and rationale for Compliance related matters.

Expertise

- Dedicated team of Investment Professionals located in Cleveland, Ohio
- Collective experience of pf ~100 years, managing \$40B of AUM.
- CFA Charterholders.

5 REASONS TO CHOOSE FUNDAMENTUM

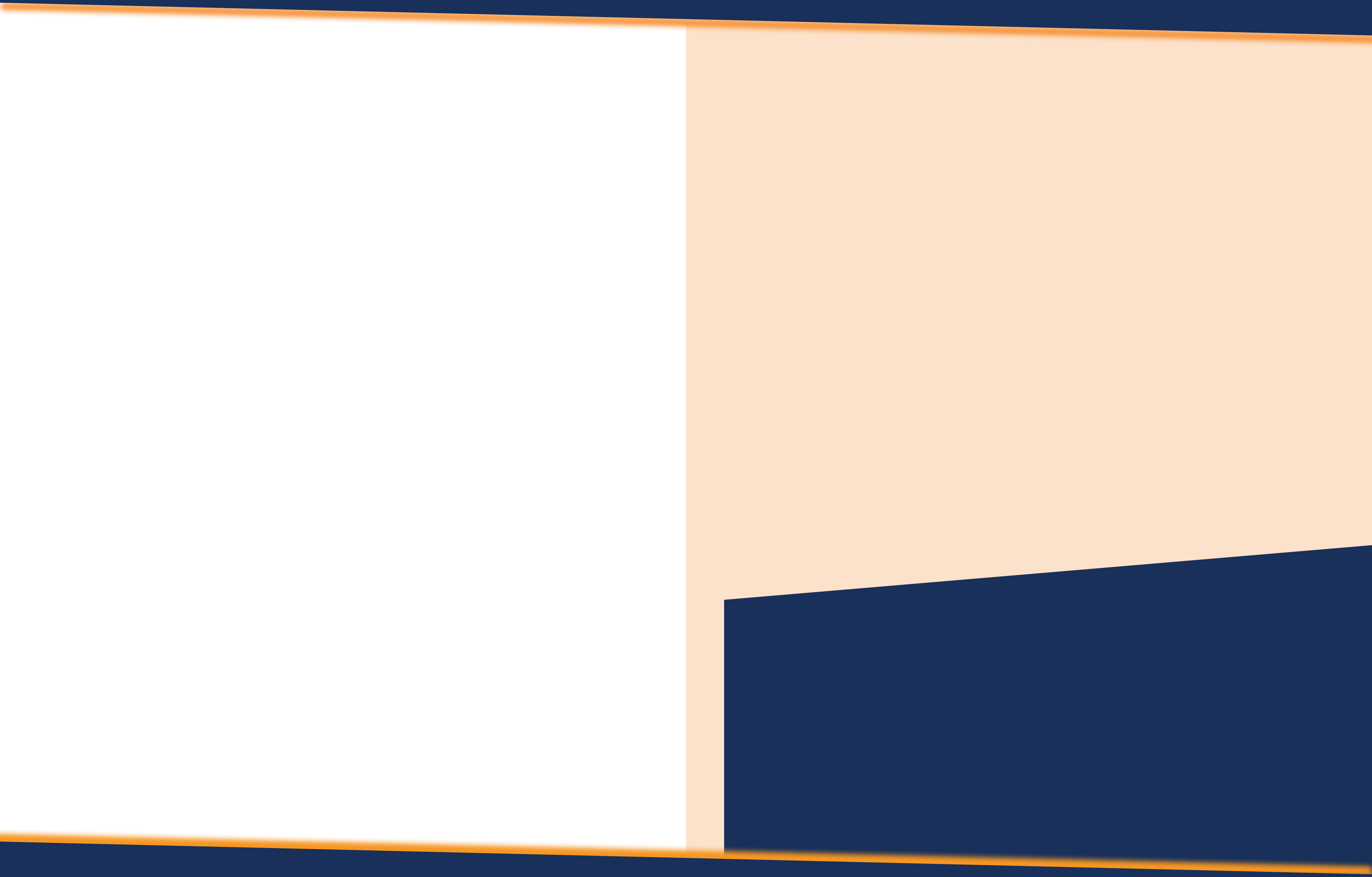
Institutional Quality

- Delivering institutional quality, reliable portfolio solutions that fit many needs in the financial planning process.

Fund Manager Access

- Full access to the Portfolio Management Team for consultation and presentations
- Unlike most third-party asset managers, the Fundamentum team is always accessible to discuss markets and strategize about client and prospect portfolios.

Competitive Pricing





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FUNDAMENTUM
Redefining Diversification